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Spatio-temporal patterns of genome evolution in allotetraploid species of the genus *Oryza*

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SUMMARY

Despite knowledge that polyploidy is widespread and a major evolutionary force in flowering plant diversification, detailed comparative molecular studies on polyploidy have been confined to only a few species and families. The genus *Oryza* is composed of 23 species that are classified into ten distinct 'genome types' (six diploid and four polyploid), and is emerging as a powerful new model system to study polyploidy. Here we report the identification, sequence and comprehensive comparative annotation of eight homoeologous genomes from a single orthologous region (*Adh1–Adh2*) from four allopolyploid species representing each of the known *Oryza* genome types (BC, CD, HJ and KL). Detailed comparative phylogenomic analyses of these regions within and across species and ploidy levels provided several insights into the spatio-temporal dynamics of genome organization and evolution of this region in 'natural' polyploids of *Oryza*. The major findings of this study are that: (i) homoeologous genomic regions within the same nucleus experience both independent and parallel evolution, (ii) differential lineage-specific selection pressures do not occur between polyploids and their diploid progenitors, (iii) there have been no dramatic structural changes relative to the diploid ancestors, (iv) a variation in the molecular evolutionary rate exists between the two genomes in the BC complex species even though the BC and CD polyploid species appear to have arisen <2 million years ago, and (v) there are no clear distinctions in the patterns of genome evolution in the diploid versus polyploid species.

Keywords: Oryza, polyploidy, BACs, comparative genomics, genome evolution.

INTRODUCTION

Polyploidy is a major evolutionary force for the diversification of flowering plants and their genomes (reviewed by Wendel, 2000; Leitch and Leitch, 2008; Doyle *et al.*, 2008; Jackson and Chen, 2009). Some patterns associated with the evolution of structural and functional plasticity of polyploid genomes, in particular those from recently evolved allopolyploids, have emerged from studies in wheat, *Brassica*, cotton, soybean and Arabidopsis; however, the nature, pace and magnitude of these patterns differ between various species (Cronn *et al.*, 1999; Comai, 2000, 2005; Comai *et al.*, 2000; Liu *et al.*, 2001; Osborn *et al.*, 2003; Pires *et al.*, 2004; Wang *et al.*, 2004, 2006; Salmon *et al.*, 2005; Udall *et al.*, 2005; Innes *et al.*, 2008; Ha *et al.*, 2009; Jackson and Chen, 2009; Ni *et al.*, 2009). To assess the relevance of these emerging patterns, additional studies are required across diverse plant families (Doyle *et al.*, 2008).

The genus *Oryza*, which includes rice and closely related wild relatives, has emerged as a powerful system to study the modes and mechanisms of genome evolution (Wing *et al.*, 2005; Ammiraju *et al.*, 2006, 2007, 2008; Piegu *et al.*, 2006; Ma *et al.*, 2007; Lu *et al.*, 2009). *Oryza* has undergone rapid diversification (Ge *et al.*, 1999; Vaughan *et al.*, 2003;

Zou et al., 2008) within a short evolutionary time span of 15 million years (Ammiraju et al., 2008; Lu et al., 2009). The genus comprises approximately 23 species that have been grouped into six diploid and four allotetraploid genome types (BBCC, CCDD, HHJJ and KKLL) (Navar, 1973; Aggarwal et al., 1997; Ge et al., 1999; Lu et al., 2009). All Oryza polyploids are wild and contain important phenotypic traits that have the potential for use to improve cultivated rice (Brar and Khush, 1997). Oryza polyploids have been further broadly classified into two polyploid genome complexes, CC and HH. Six of the nine Oryza polyploid species belong to the CC polyploid complex (Vaughan et al., 2003). Polyploid species containing the BB and CC genome types arose recently (Lu et al., 2009; Tang et al., 2009; Wang et al., 2009) as a result of at least three independent polyploidization events (Vaughan et al., 2003). In contrast, much of the diversity in the CCDD, HHJJ and KKLL genomes resulted from single polyploidization events (Ge et al., 1999; Vaughan et al., 2003; Bao and Ge, 2004; Guo and Ge, 2005). Among the species within the CC genome polyploid complex, both putative diploid donor species for the BBCC genome species [O. punctata (BB) and O. officinalis (CC)] (Ge et al., 1999) and one presumptive donor for the CCDD genome species [O. officinalis (CC)] are extant. However, the diploid donor species for the DD, HH, JJ, KK and LL genomes are presumed to be extinct, and are currently known only as homoeologous genomes of polyploid Oryza species. Several studies have suggested that the EE genome is the potential diploid progenitor of the DD genome (Navar, 1973; Fukui et al., 1997; Ge et al., 1999, 2001; Li et al., 2001; Bao and Ge, 2004; Guo and Ge, 2005; Gong and Bao, 2008; Hirsch et al., 2009), whereas the LL genome of O. coarctata, although related to the HH genome of O. ridleyi, was recently reclassified from the HH to the LL genome type (Lu et al., 2009).

Because of the importance of rice as a major food crop and model organism, large-scale efforts are underway to understand the genome organization and evolution of all Oryza species, including the polyploids. For example, numerous public resources have been developed for the genus, including well-curated seed collections (http://beta.irri.org/seeds/; http://www.shigen.nig.ac.jp/rice/ oryzabase/top/top.jsp; Jackson, 1997; Jackson et al., 1999), inter-specific mapping populations (http://www.rgrc.dna. affrc.go.jp/stock.html and http://www.shigen.nig.ac.jp/rice/ oryzabase/top/top.jsp), and a set of bacterial artificial chromosome (BAC)/end sequence physical maps (Ammiraju et al., 2006; Kim et al., 2008; http://www.omap.org) for 16 different species representing ten known genome types (diploid as well as polyploid) and various evolutionary time points within the 15 million years of Oryza radiation (Ammiraju et al., 2008; Lu et al., 2009).

Macro-level comparative analyses of these BAC-based physical maps and end sequences from the polyploid *Oryza*

species [i.e. *O. minuta* (BBCC), *O. alta* (CCDD), *O. ridleyi* (HHJJ) and *O. coarctata* (LLKK)] with putative progenitor diploids [i.e. *O. officinalis* (CC) and *O. punctata* (BB)], as well as the cultivated rice genome (AA) revealed that: (i) homo-eologous chromosomes of the polyploid species are highly divergent, and individual co-resident genome maps can be developed by BAC-based fingerprinting methods (Ammiraju et al., 2006; Kim et al., 2008), and (ii) part of the divergence is mediated by lineage-specific evolution of transposable elements in diploid and polyploid species (Ammiraju et al., 2006, 2007; Zuccolo et al., 2007, 2008; Kim et al., 2008).

Comparative sequence analysis of large DNA segments, especially within well-defined phylogenetic frameworks, has already yielded important insight into the processes and mechanisms driving post-polyploidization genome restructuring and evolution (Cheung et al., 2009; Chantret et al., 2005; Dubcovsky and Dvorak, 2007; Fiebig et al., 2004; Gu et al., 2006; Grover et al., 2004, 2007; Ha et al., 2009; Rana et al., 2004; Wicker et al., 2003; Innes et al., 2008). We recently reported genus-wide evolutionary comparisons of two orthologous genomic regions, MOC1 and Adh1 (Ammiraju et al., 2008 and Lu et al., 2009), providing the first glimpse into the nature, mechanistic basis, evolutionary origin and timing of various DNA rearrangements and their impact on Oryza genome diversity. These comparisons also revealed similarities and differences in the evolution of Oryza genomes in a local context. For example, 78% of the Adh1 region in O. sativa was found to be composed of tandemly arrayed gene families, whereas the MOC1 region was predominantly composed of low-copy-number sequences. Analysis of the genomic structural stability across the Oryza phylogeny for these two regions revealed that the Adh1 region was highly unstable (Ammiraiu et al., 2008), whereas the *MOC1* regions were conserved, thereby leading to an interesting correlation between regional genome stability and genic composition (Lu et al., 2009). Although the Adh1 regional analyses were confined to diploid lineages, the MOC1 investigation included comparisons of both diploid and polyploid Orvza genomes, and remains the only systematic comparative genomics study that has addressed genome evolution in all Oryza polyploid genomes.

A comprehensive understanding of the molecular evolutionary consequences of polyploidization in *Oryza* cannot be revealed by studying a single locus or region alone. This was clearly demonstrated in allotetraploid cotton, in which the genome dynamics of two regions, *CesA* and *AdhA*, were compared (Grover *et al.*, 2004, 2007). The *AdhA* region was shown to have increased levels of illegitimate recombination and a higher frequency of small deletions, providing evidence for possible genome downsizing after polyploidization. Comparative analysis of the *Adh1* regions of polyploid *Oryza* provides a fitting contrast to the *MOC1* region based on differences in gene family content and recombinational properties. Here, we report the isolation, sequencing and comparative phylogenomics analyses of eight homoeologous genomic regions from a single orthologous region (the *Adh1* locus) from four allopolyploid species representing each of the known *Oryza* genome types, namely *O. minuta* (BBCC), *O. alta* (CCDD), *O. ridleyi* (HHJJ) and *O. coarctata* (KKLL), in a well-defined phylogenetic context.

In addition to obtaining detailed information on genome microstructure and complexity for five extinct diploid genome types (DD, HH, JJ, KK and LL), this analysis, based on techniques of Bayesian relaxed-clock models, provides a robust temporal framework for the origin of polyploidy in *Oryza*. In addition, comparative analyses of these homoeologous regions, within and across species and ploidy levels, revealed several unique insights into the spatio-temporal dynamics of *Oryza* genome organization and evolution, and their similarities to and differences from known information from *Oryza* and other polyploid systems.

RESULTS

Identification, sequencing and annotation of eight homoeologous regions spanning the *Adh1* region of four allotetraploid *Oryza* species

We identified (Ammiraju *et al.*, 2006 and Figure S1) and sequenced a set of 11 BAC clones spanning the *Adh1* genomic region from the homoeologous genomes of four polyploid *Oryza* species (Table S1). These BACs were manually annotated, resulting in the identification of 87 intact genes and 16 apparent pseudogenes, plus a single case of a gene embedded in a transposable element (TE) (Table S2). For simplicity, when discussing genes and TEs from different species and genome types, the nomenclature shown in

 Table 1 Genome nomenclature used to distinguish individual genes, transposable elements and genomic regions from various species and ploidy backgrounds

Species	Genome type	Ploidy type	Genome nomenclature ^a
O. sativa ssp. japonica O. punctata O. officinalis O. australiensis O. minuta	AA BB CC EE BBCC	Diploid Diploid Diploid Diploid Polyploid	J B _d C _d E _d ΒτΜ
O. alta	CCDD	Polyploid	C _{TM} C _{TA} D _{TA}
O. coarctata	KKLL	Polyploid	L _{TC}
O. ridleyi	HHJJ	Polyploid	К _{тс} Н _{тв} Ј _{тв}

^aGenes from each *Oryza* species are indicated by the first letter of each species, and the ploidy level is indicated by the subscript 'd' for diploid or 'T' for tetraploid.

Table 1 was used. TE content and diversity are described in Table S3. TE content ranged from 26.4% (for L_{TC}) to 53.9% (for H_{TR}). No major deviations in GC content or exon and intron length were observed compared to the *Oryza* diploid genomes (Ammiraju *et al.*, 2008).

Phylogenetic framework, molecular timing of polyploidization events and rate of sequence evolution

Previous sequence analysis of the *Adh1* region from the diploid *Oryza* phylogeny identified a core set of six conserved genes (6–1, 6–2, 7, 8, 9 and 10; Ammiraju *et al.*, 2008) that were used to deduce phylogenetic relationships and the timing of various speciation events. Protein coding sequences from the same core gene set from tetraploid *Oryza* species were similarly identified (with the exception of genes 7 and 9 in the K_{TC} genome) and used to: (i) test the independent phylogenetic evolution of homoeologous pairs of genes since polyploid formation, (ii) estimate the time of polyploid formation, (iii) estimate the effects of selection on homoeologous gene pairs, and (iv) test the equivalence of molecular evolutionary rates of two co-resident genomes relative to diploid progenitors.

Two phylogenetic approaches based on Bayesian and maximum-likelihood methods were used to infer the evolutionary relationships of diploid and polyploid Oryza lineages across the genus. Phylogenetic trees for the six core genes are shown in Figure S2(a-f) (Bayesian approach) and Figure S3(a-f) (maximum-likelihood approach). The resulting phylogenetic relationships for all 12 individual trees from both approaches mirrored the previously deduced evolutionary history of Oryza (Ge et al., 1999, 2002; Guo and Ge, 2005). Importantly, homoeologous sequences from each polyploid species always maintained sister relationships with orthologous sequences from putative diploid progenitors (B_d-B_{TM} and $C_d-C_{TM}-C_{TA}$) or with orthologous sequences of a similar or closely related genome type from a different species background ($D_{TA}-E_d$ and $L_{TC}-H_{TR}$). Combined trees of the six core genes also resulted in a similar genus topology (Figures S2g and S3g).

To estimate the timing of polyploid formation of the BBCC and CCDD species, we used a relaxed molecular clock approach in a Bayesian phylogenetic framework using the program BEAST (Drummond and Rambaut, 2007). We tested two models of rate variation against the molecular clock (the hypothesis that all rates are equal across the phylogeny): the uncorrelated log normal (UCLN) and the uncorrelated exponential (UCED) models. Both assume that rates are uncorrelated between adjoining branches. Our data strongly rejected the strict molecular clock in favor of models where rates varied across the phylogeny. The Bayes factors (BF), calculated against the molecular clock and either the UCLN or UCED models, were greater than 10 for all comparisons, with no significant difference between the models (BF <1). In addition, the BF tests allowed us to

determine that the 'general time reversible model' (GTR), with estimates for among-site rate heterogeneity (gamma distribution; GTR+G), was the best site-substitution model for the data. The results in Figure 1 are based on the UCLD relaxed-clock model with the GTR+G model of site substitution.

Results from these analyses indicated that the diploid and polyploid B genomes $(B_d - B_{TM})$ evolved independently for approximately 2.1 million years. Similarly the diploid and polyploid C genomes (C_d-C_{TM}-C_{TA}) radiated approximately 1.7 million years ago, and the C_d - C_{TM} genomes approximately 0.6 million years ago (Figure 1 and Table 2). Thus the polyploidization event leading to formation of O. minuta (BBCC) occurred approximately 0.6-2.1 million years ago, while that of O. alta (CCDD) occurred approximately 1.7 million years ago. The relaxed-clock models also indicated that the diploid B and C genomes last shared a common ancestor approximately 9.6 million years ago (Figure 1). Although we were not able to estimate the timing of the polyploidization events leading to formation of the HHJJ and KKLL genome species, we were able to estimate that the $J_{TB}-L_{TC}-H_{TB}$ genomes last shared a common ancestor approximately 9.3 million years ago, and that the L_{TC} -H_{TR} genomes have evolved independently for approximately 8.9 million years (Figures 1 and S2g).

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To test for different types of selection acting on the six core genes, we calculated the rates of synonymous (d_S) and non-synonymous (d_N) substitutions using a phylogenetic approach based on the maximum-likelihood algorithm in PAML (Yang, 2007). The ratio of d_N/d_S, referred to as 'omega' (ω), provides a stringent measure of the selective pressures acting on a protein coding gene (i.e. $\omega = 1$ indicates selectively neutral evolution; $\omega < 1$ indicates purifying selection; $\omega > 1$ indicates adaptive or diversifying selection (Yang, 2002). For all six core genes, and in all tested *Oryza* lineages (diploid and polyploid), the ω ratio was found to be <0.5, suggesting that these proteins are under strong purifying selection (Tables S4–S6).

To investigate whether the core gene sets in *O. minuta* (BBCC) and *O. alta* (CCDD) and their putative diploid progenitors *O. punctata* (BB) and *O. officinalis* (CC) are undergoing different rates of evolution, we calculated and compared their molecular evolution rates using BEAST (Drummond and Rambaut, 2007) to determine whether the mean rate was higher in the polyploid lineage and whether the 95% highest posterior density (HPD) interval overlapped between the polyploid and diploid lineages. Our analysis detected an elevated rate of molecular evolution in the B_{TM} genome relative to the B_d genome. In contrast, the C genomes from *O. alta* and *O. minuta* showed nearly



Figure 1. Paleogram of the genus *Oryza* obtained using a Bayesian relaxed-clock approach. Nodes leading to the formation of polyploid species are numbered. Blue boxes reflect the 95% highest posterior density (HPD) interval for the age of the respective nodes. The time scale below the figure indicates the number of million years. The nomenclature for genome type and ploidy of each species is indicated in Table 1. A_d, F_d and G_d indicate diploid *Oryza* species belonging to the genome types AA, FF and GG respectively.

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Node in Figure 1	MRCA	Age (million years)	95% HPD interval
N1	All CC genomes	1.70	0.7636-2.9052
N1	<i>O. officinalis</i> C _d versus <i>O. alta</i> C _{TA}	1.70	0.7636-2.9052
N2	O. officinalis C_d versus O. minuta C_{TM}	0.60	0.3017-1.4674
N3	<i>O. punctata</i> B _d versus <i>O. minuta</i> B _{TM}	2.10	0.9283.3.1886
N4	O. australiensis E_d versus O. alta D_{TA}	7.08	2.1577-7.9699
N5	<i>O. coarctata</i> L _{TC} versus <i>O. ridleyi</i> H _{TR}	8.58	3.760-10.4406

Table 2 Time of origin for the CC genome complex polyploid species *O. minuta* (BC) and *O. alta* (CD), and the split of the diploid DD–EE and LL–HH genomes from their most recent common ancestor (MRCA)

Times in millions of years at 95% highest posterior density (HPD) intervals based on Bayesian relaxed-clock models are indicated.

identical rates of molecular evolution relative to *O. offici*nalis (Table S7).

Evolution of intergenic space

The amount of intergenic space divergence between homoeologs should approximately equal that between the two orthologous regions of the two progenitor diploids, under a scenario of recent polyploidization and independence. This expectation was tested and confirmed using pairwise global sequence comparisons (Table S8). The amount of intergenic sequence conserved between the B_d and C_d genomes was similar to that between B_{TM} and C_{TM} . Similarly, the amount of sequence conservation between B_d and B_{TM} and between C_d and C_{TM} was high, in line with their recent origin (Table S8). A comparison of TE content indicated independent and non-uniform evolution of TEs as the basis for independent expansions and/or compressions in both diploid and polyploid genomes (Table S9). TEs were observed to make similar contributions to most of these genomes, varving from 49-61% (Table S3). The ratio of RNA to DNA TE contributions in the Oryza tetraploids varied from approximately 0.6 to 2.3, which is not unusual for plant species with small genomes (380-640 Mb) (Table S3). Larger genomes such as that of maize (Schnable et al., 2009) have ratios of RNA to DNA TE genomic contributions of approximately 5. Individual element family representations, especially for the DNA elements, were found to vary in the studied orthologous regions, but this is likely to be a sampling outcome due to the small region investigated.

Variation in the rate of unequal recombination as gauged by LTR retrotransposon dynamics

A total of 13 intact LTR retrotransposons and 34 solo LTRs were identified from the polyploid comparative dataset (Table S10). Intact LTR retrotransposon content ranged from 0 in the L_{TC} genome to 3 in each of the D_{TA} , B_{TM} and C_{TM} genomes. Solo LTR content ranged from 1 in the L_{TC} and C_{TM} genomes to 9 in the D_{TA} genome. The estimated insertion times of intact elements ranged from 0.2–7 million years ago (Table S9). Pairwise global comparisons between various genomic counterparts across ploidy levels and genome

types revealed that none of the intact LTR elements were shared, whereas four of 34 (11.7%) solo LTRs were shared (Table 3). However, for type II DNA TEs, a number of intact elements were shared in the CC genome complex species, with no such conservation among HH genome complex species. The ratio of intact LTR retrotransposons to solo LTRs is a commonly used method to determine rates of unequal/ectopic recombination (SanMiguel et al., 1998). Variation in the rate of unequal recombination was observed in the compared diploid donor and polyploid counterparts. In particular, the B_d region has experienced fourfold higher unequal recombination than its B_{TM} counterpart, while the two homoeologous CC genomes of O. alta (CCDD) and O. minuta (BBCC) exhibited opposite patterns in the rate of unequal recombination, i.e. higher in C_{TA} and lower in C_{TM} relative to C_d (Table 3).

Synteny and DNA rearrangements

Three types of global comparisons were performed using windows of aligned *Adh1* regions: (i) comparisons of the same or closely related genome types across species and ploidy backgrounds (B_d-B_{TM} , C_d-C_{TM} , C_d-C_{TA} , $L_{TC}-H_{TR}$ and $D_{TA}-E_d$), (ii) comparisons between the two homoeologous genomes from within each polyploid species ($B_{TM}-C_{TM}$, $C_{TA}-D_{TA}$, $L_{TC}-K_{TC}$ and $H_{TR}-J_{TR}$), and (iii) comparisons of homoeologous polyploid genomes with the Os*Adh*1 reference sequence to obtain specific inferences about the structure or timing of a particular DNA arrangement. Here we present a summary of the various molecular and evolutionary mechanisms that underlie the maintenance and disruption of micro-synteny.

Evolution of paralogous gene families. Using a combination of synteny and phylogenetic analysis, the shared and unshared (lineage-specific) fraction of each gene family was identified for all polyploid species. When the BB and CC genomes (B_d - B_{TM} , C_d - C_{TM} and C_d - C_{TA}) were compared separately, gene content and order were highly conserved and the size of the single orthologous gene cluster (gene family 11) was stable. Therefore, the two homoeologous genomes of *O. minuta* (B_{TM} and C_d - C_{TM}) and the homoeologous genome of *O. alta* (C_{TA}) essentially mirrored the gene

Selected global genome comparison	Genome specificity	Number of intact LTR retrotransposons	Number of solo LTRs	Age (million years ago)		
				Range	Mean	Ratio of intact LTR retrotransposons
B_d – B_{TM}	Present only in B _d	1	6	1.05	1.1	1:9
	Present only in B _{TM}	2	1	2.00-3.67	2.8	1:2
	Common to both	0	3	0	0	0
C_d – C_{TM}	Present only in C _d	0	1	0	0	0:1
	Present only in C _{TM}	3	0	0.57-2.03	1.3	3:0
	Common to both	0	0	0	0	0
Cd-CTV	Present only in C _d	0	1	0	0	0:1
u m	Present only in C_{TA}	0	2	0	0	0:2
C _d -C _{TA} C _{TM} -C _{TA}	Common to both	0	0	0	0	0
CTM-CTA	Present only in C_{TM}	3	1	0.57-2.03	1.3	3:1
	Present only in CTA	0	2	0	0	0:2
	Common to both	0	0	0	0	0
	Common to all C genomes	0	0	0	0	0
D _{TA} –E _d	Present only in D_{TA}	3	8	0.44-7.03	2.7	1:3
int d	Present only in E _d	7	9	0.28-3.6	1.3	1:1.3
	Common to both	0	1	0	0	0
L _{TC} -H _{TR}	Present only in L_{TC}	0	0	0	0	0
	Present only in H_{TB}	0	3	0	0	0:3
	Common to both	0	0	0	0	0
B _{TM} -C _{TM}	Present only in B _{TM}	2	4	2.00-3.67	2.8	1:2
	Present only in CTM	3	0	0.57-2.03	1.3	3:0
	Common to both	0	0	0	0	0
C _{TA} -D _{TA}	Present only in C_{TA}	0	3	0	0	0:3
	Present only in D_{TA}	3	9	0.44-7.03	2.7	1:3
	Common to both	0	0	0	0	0
L _{TC} -K _{TC}	Present only in L_{TC}	0	1	0	0	0:1
	Present only in K_{TC}	0	2	0	0	0:2
	Common to both	0	0	0	0	0
H_{TR} - J_{TR}	Present only in H _{TR}	0	1	0	0	0:2
	Present only in J _{TR}	2	1	3.04-3.06	3.1	1:1
	Common to both	0	1	0	0	0

Table 3 Unequal recombination rate variation in pairwise comparisons of the globally aligned orthologous Adh1 regions

space organization of their putative progenitors (Figure 2). However, a single apparent case of a lineage-specific insertional inactivation of a paralogous member by an LTR retrotransposon was identified in B_{TM} (Figure 2).

In contrast, comparisons between the $L_{TC}-H_{TR}$ and $D_{TA}-E_d$ genomes, and two homoeologous genomes from the polyploids *O. coarctata* ($L_{TC}-K_{TC}$) and *O. ridleyi* ($H_{TR}-J_{TR}$) revealed extensive variation in the size of almost every orthologous gene cluster (e.g. gene families 2, 5, 6, 11 and 13; Figures 2 and 3). Even among the shared members, several cases of apparent gene loss events were observed. Our analysis indicated that these two events (size change and silencing) were mediated by at least three major evolutionary forces, mostly in a lineage-specific fashion. Pertinent examples are discussed below.

(a) Gain of new paralogous family members through tandem duplication. For example, a fourth *Adh* family member ($K_{TC}6$ –4; Figure 2) located approximately 65 kb upstream of the *Adh* cluster, and in an opposite transcrip-

tional orientation, was identified on the K genome of *O. coarctata*. We determined that its origin was recent and lineage-specific (K_{TC}) by sequence divergence estimates of *Adh* family members.

(b) Gene loss by either (i) frameshift mutations or mutational decay, e.g. $K_{TC}6$ –3 and gene family 11 members in a number of compared lineages (Figures 2 and 3), insertion of transposons, e.g. several gene family 11 members in D_{TA} and one member in H_{TR} , or complete deletion of a member or a complete tandem array, e.g. the entire NBS–LRR family 2 in L_{TC} and loss of an entire protein kinase gene cluster 5 in J_{TR} .

(c) Sequence divergence, e.g. several members of gene families 2, 5, 11 and 13 (Figures 2 and 3).

Single-copy gene deletions. In addition to the rapid birth and death pattern of gene family evolution seen among gene clusters, several cases of single-copy gene loss events were also discovered. An interesting case was the complete



Figure 2. Phylogenomic view of the orthologous Adh1 regions from CC genome complex polyploid species [O. minuta (BBCC) and O. alta (CCDD)] and their putative diploid progenitors O. punctata (BB) and O. officinalis (CC) relative to the closely related species O. australiensis (EE) and O. sativa (AA). The nomenclature for genome types is indicated in Table 1. Each gene family is color-coded, and the various types of TEs are indicated. Insertion time estimates for intact LTR retrotransposons (in millions of years) are indicated above each element.

absence of genes 7 and 9 in the K_{TC} genome. As these genes are conserved in all other *Oryza* genome types (diploid and polyploid) at the *Adh1* region, these events probably represent deletions that arose as a consequence of polyploidization specifically in the K_{TC} genome.

Gene transposition. Another mechanism that contributed to deviation from strict micro-synteny was gene transposition. Two non co-linear genes were identified in the D_{TA} genome that were absent at the corresponding genomic location in all other genomes analyzed. The first was a gene fragment that was found embedded in a Pack-MULE (Os0874 family; Jiang et al., 2004) with intact structural features, and was located in the gene interval D_{TA}8–9 (Figure 2). Homology searches provided evidence that this gene fragment belonged to a USP family protein (LOC_Os01g57450) located on chromosome 1 of cultivated rice, suggesting movement to its current location in D_{TA} with the aid of a MULE element. The second non-syntenic gene (D_{TA}NS) was a member of NBS-LRR family 2. The most closely related gene was found on chromosome 8 (LOC_Os08g10430.1) of cultivated rice (International Rice Genome Sequencing Project, 2005). This gene was not embedded in any known TE, suggesting gene movement by an unknown mechanism.

DISCUSSION

The main objectives of this study were to apply comparative phylogenomics approaches to: (i) understand the genomic consequences of natural polyploidization in *Oryza* through detailed sequence-level characterization of an orthologous set of genomic regions across the *Oryza* phylogeny, and (ii) determine the molecular evolutionary forces and events that shaped the eight genomes of polyploid *Oryza* in light of known patterns of genome evolution in diploid *Oryza*, as well as known patterns in other polyploid systems. The analysis yielded several insights into the tempo of genome evolution in natural polyploids of *Oryza*, and these are discussed below.

Timing of Oryza polyploid formation

The origin and distribution of the BBCC and CCDD *Oryza* allopolyploids have been widely debated (Ge *et al.*, 1999; Vaughan *et al.*, 2003; Second and Rouhan, 2008). Here we used a relaxed-clock approach (Sanderson, 2002;



Figure 3. Phylogenomic view of orthologous Adh1 regions from the HH genome complex polyploid species O. coarctata (KKLL) and O. ridleyi (HHJJ) relative to O. sativa (AA). The nomenclature for genome types is indicated in Table 1. Each gene family is color-coded, and the various types of TEs are indicated. Insertion time estimates for intact LTR retrotransposons (in millions of years) are indicated above each element.

Drummond et al., 2006; Drummond and Rambaut, 2007) to estimate the times of origin of polyploidy in Oryza. The main advantages of this method are that (i) it accounts for rate heterogeneity in genes or lineages, (ii) there is no need for application of a local clock based on an externally calibrated rate (e.g. universal nucleotide substitution rate), and (iii) it provides robust molecular dates with plausible ranges of uncertainty (i.e. 95% highest posterior density interval). The results from these analyses broadly agreed with our previous estimates using a local clock, and those of another study that used relaxed-clock methods (Tang et al., 2009). Additionally, the molecular clock findings reported for the MOC1 locus (Lu et al., 2009), and here for the Adh1 locus, dated the BC and CD polyploidization events to approximately 2 million years ago. Thus, our results exclude the possibility of ancient polyploidization events occurring approximately at the time of maize-rice divergence and continental drift (Chang, 1976, 2003; Khush, 1997). Therefore, the present-day distribution of diploid and polyploid species on different continents, and the molecular clock results obtained here, can only be explained by a 'long-distance dispersal' hypothesis (Vaughan et al., 2005, 2008; Zhang and Ge, 2007; Wang et al., 2009).

The D and E genomes of Oryza

As the diploid DD genome species is presumed extinct, and is only present in three American CCDD polyploid species, various hypotheses have been proposed to explain its origin and relationship to other Oryza genome types. Several studies have suggested that the EE genome is a strong candidate as the potential donor for the DD genome (Gong and Bao, 2008; Guo and Ge, 2005; Bao and Ge, 2004; Fukui et al., 1997; Ge et al., 1999, 2001; Li et al., 2001; Nayar, 1973). If this is true, and given the recent origin of the CCDD and BBCC genomes (<2 million years ago), it is expected that the DD and EE genomes would have a divergence time that is comparable to that for all other donor and recipient genomes involved in BBCC and CCDD polyploidization events. The phylogeny and molecular clock data obtained here indicate that the D_{TA} and E_d genomes have experienced independent evolution for a long time (>7 million years; Figure 1) compared to B_d-B_{TM} (approximately 2.1 million years) and $C_{d-}C_{TM}$ (approximately 0.6 million years). This long evolutionary divergence between the DD and EE genomes could have resulted from an accelerated rate of sequence substitutions in one of these genomes. Our analyses uncovered no such phenomenon at the Adh1 region between the DD and EE genomes (Table S7). In addition, the sequence homology in the intergenic regions was lower and the number of structural rearrangements was higher between the D_{TA} and E_d genomes, compared to those among the BB and CC genomes in different ploidy backgrounds, further supporting the above hypothesis. Although the majority of E_d genome-specific structural variation is of recent origin (Piegu *et al.*, 2006; Ammiraju *et al.*, 2007, 2008), the current degree of divergence observed in this region nevertheless supports the existing independent genome type designation for the D genome of *O. alta*.

Parallel and ongoing evolution of genes and genome micro-structure of co-habitating *Oryza* homoeologous genomes at the *Adh1* region

Genes duplicated by polyploidization usually undergo one or more of three known evolutionary fates (Wendel, 2000): (i) functional divergence due to relaxed selection on one copy (Adams and Wendel, 2005; Lu et al., 2009; Stephens, 1951; Wendel, 2000; Zhang, 2003), (ii) retention of both copies, and their original or similar function (Wendel, 2000; Zhang, 2003; Freeling, 2008; Veitia et al., 2008; Edger and Pires, 2009), and (iii) gene loss by pseudogenization or complete elimination (Wendel, 2000; Doyle et al., 2008; Otto, 2008). Analysis of orthologous Adh1-Adh2 regions from Oryza polyploid species revealed that, barring the few exceptions described below, most of the low-copy-number homoeologous duplicated gene pairs were retained and were under strong functional constraints. A classic example of functional retention of duplicated genes is the Adh family studied in this region. Duplication of Adh pre-dates the divergence of grasses (Gaut et al., 1999; Ammiraju et al., 2008), and all paralogs in cultivated rice are still functionally intact and under strong purifying selection, but show organspecific expression patterns (Xie and Wu, 1989; Terada et al., 2007), indicating sub-functionalization. These results are in contrast with previous findings indicating that more than half of the homoeologous gene pairs in the MOC1 region of O. minuta (BBCC) experienced accelerated rates of amino acid substitutions, suggesting functional diversification (Lu et al., 2009). However, current findings from the Adh1 region are consistent with recent findings showing that retention of duplicate genes plays a role in increasing expression diversity (Ha et al., 2009).

Orthologous Adh1 regions of diploid Oryza are predominantly composed of multi-gene families that are as old as or older than the genus itself (Ammiraju *et al.*, 2008). Their dynamic evolution, through lineage-specific birth and death processes, was shown to be a frequent cause of synteny disruption. Combined phylogenetic and synteny analyses revealed that most paralogous gene sequences form sister clades with respective sequences from putative diploid donors or closely related genomes (Figures S4–S7), or form genome-specific groups that arose independently after polyploidization. The absence of phylogenetic violations further supports the hypothesis that each of the two co-habitating genomes in each Oryza polyploid species is undergoing independent evolution. This analysis indicated that the two homoeologous C genomes of O. minuta and O. alta have been evolving independently since their respective polyploidization events. This time frame is close to that of the divergence time of all AA genomes (<2 million years) (this study; Zhu and Ge, 2005). In the Adh1 region, a high degree of gene content conservation was observed between the homoeologous regions of O. minuta and O. alta and their respective diploid progenitors (Table S11). This is in contrast to the approximately 2-8% gene flux (percentage of unshared genes due to independent lineage-specific gain or loss) observed among the AA genomes of Oryza at the same orthologous region (Ammiraju et al., 2008). Similarly, an approximate 22% gene flux was observed between the D_{TA} and E_d genomes and between the two L_{TC} -H_{TR} genomes (Table S11). This extent of gene content variation is not surprising based on a comparison of diploid Oryza lineages with similar temporal resolutions (O. sativa and O. punctata, divergence 7.4 million years ago, gene content variation 28%; O. sativa and O. australiensis, divergence 9.6 million years ago, gene content variation 37%). Much of the observed gene flux was caused by rapid evolution of multi-gene families through independent genome-specific duplications and deletions. These observations are consistent with the dynamics of F-box and NBS-LRR gene families observed in other plant genomes (Freeling, 2008). The extent of intergenic space conservation in the B and C genomes in various ploidy backgrounds was comparable to that observed among the AA genomes, suggesting that the observed differences in intergenic sequence evolved independently in a clock-like manner, rather than occurring as a result of rapid induction of instability conditioned by de novo polyploidy. Independent and genome-specific insertions/deletions of TEs were the major underlying causes for the observed intergenic space divergence. By measuring the age of intact LTR retrotransposons, it was shown that most of these changes occurred independently of and subsequent to respective polyploidization events. This means that some of the 'non-additive' changes observed in the Oryza polyploids are derived states that occurred subsequent to polyploidization.

Some studies have indicated that extensive genome modifications, such as non-additive genetic change (rapid DNA loss, homoeologous recombination, gene conversion, ectopic recombination) (Song *et al.*, 1995; Feldman *et al.*, 1997; Liu *et al.*, 1998a,b; Ozkan *et al.*, 2001; Osborn *et al.*, 2003; Pires *et al.*, 2004; Udall *et al.*, 2005) and epigenetic modifications (TE suppression/release, methylation, histone modifications and gene expression changes) (Comai, 2000, 2005; Shaked *et al.*, 2001; Kashkush *et al.*, 2004, 2006; Ha *et al.*, 2009; Ni *et al.*, 2009), are major mechanisms of genome

stabilization in nascent polyploids. Several intergenomic synthetic amphiploids have been described previously for the genus Oryza to clarify the relationships between various genome types (Katayama, 1977 and references therein; Katavama et al., 1977; Katavama and Onizuka, 1978; Katavama, 1982). However, no information is available on the patterns of genome evolution in these synthetic polyploids. The natural and young Oryza polyploid species O. minuta (BBCC) and O. alta (CCDD) studied here did not exhibit dramatic genomic modifications in relation to their extant diploid parents at this genomic location. Further supporting these results is the macro-level observation that, in O. minuta (BBCC), the only polyploid species with two presumed diploid progenitors that currently survive, the genome size (1124 Mb) is the sum of those of its parents [425 Mb for O. punctata (BB) and 651 Mb for O. officinalis (CC)] (Ammiraju et al., 2006). The minor micro-structural differences observed at this region were probably due to ongoing independent evolution of polyploid genomes subsequent to polyploidization events and/or haplotypic differences in the parental lines used as progenitors for genome comparisons. Similar levels of genome micro-structure stability between homoeologous genomes in other recently evolved natural polyploids have also been observed using comparative genomics approaches (Cheung et al., 2009; Chantret et al., 2005; Dubcovsky and Dvorak, 2007; Fiebig et al., 2004; Gu et al., 2006; Grover et al., 2004, 2007; Ha et al., 2009; Rana et al., 2004; Wicker et al., 2003; Innes et al., 2008).

The degree of micro-level structural variation appears to depend on the genetic divergence between the parental genomes involved in polyploid formation and/or the age of the polyploid. Genome restructuring is less extensive in young CC polyploid complex species than in older HH genome complex polyploid species. An intriguing case is that of O. coarctata, which has the smallest genome size of the Oryza polyploids (771 Mb; Kim et al., 2008). The L_{TC} genome of O. coarctata has the lowest TE content among all polyploid genomes: almost half of the total TE content of the closely related H_{TR} genome from O. ridleyi. Most TEs observed in the L_{TC} genome of O. coarctata were fragmented, and no recent TE insertions were found relative to the H_{TR} genome of *O. ridleyi*. As a whole, *O. coarctata* has an unusually low repeat content and a small percentage of common repeats shared with rest of the Oryza species (Zuccolo et al., 2007). Taken together, it is tempting to speculate that the small genome size in O. coarctata is a possible example of 'genomic downsizing', which is a widespread biological phenomenon observed after polyploid formation (Leitch and Bennett, 2004).

A surprising finding was the apparent variation in the rates of sequence evolution between the B and C genomes of *O. minuta* at the *Adh1* region, with the B genome exhibiting a moderately accelerated rate of evolution relative to its C genome counterpart.

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To date, the wheat genome has been the best model for understanding the consequences of natural polyploidy in grasses. The timing of polyploidization events in wheat was estimated to be as recent as that for the CC genome complex polyploids of Orvza (0.5-3 million years ago for AABB allopolyploid formation and 7000-9000 years for AABBDD hexaploid formation from the A, B and D progenitors that diverged 2.5-6 million years ago). Analysis of a number of genetic loci across various ploidy levels in wheat has indicated that, despite low intergenic space conservation, gene content and micro-colinearity are largely preserved, with an approximate 10-20% gene flux in the homoeologous regions (Wicker et al., 2003; Chantret et al., 2005; Gu et al., 2006; Dubcovsky and Dvorak, 2007). Despite being a highly recombinogenic region, analysis of Oryza CC genome complex polyploids uncovered a low level of gene flux (approximately 4% in the O. minuta B genome; Table S11), suggesting similar patterns of polyploid genome evolution in Oryza and wheat.

In conclusion, analysis of the tetraploid *Oryza* genomes indicates that this genus provides an excellent and opportunistic model system to study the consequences of natural polyploidy. Future investigations will focus on expanding the comparative phylogenomic analyses to whole chromosome arms and eventually full genome and epigenome sequences to understand not only the rates of genetic and genomic changes but also ecological and physiological effects of polyploidy on phenotype (Wang *et al.*, 2006; Ni *et al.*, 2009) and the historical consequences of multiple origins at different evolutionary times.

EXPERIMENTAL PROCEDURES

Sequencing and annotation

Sequencing, annotation and phylogenetic analysis were performed as previously described (International Rice Genome Sequencing Project, 2005; Ammiraju *et al.*, 2008). LTR retrotransposon insertion dates were calculated as described by SanMiguel *et al.* (1998), using a rate of 1.3×10^{-8} mutations per site per year (Ma and Bennetzen, 2004). Quantitative estimates of sequence conservation in selected global sequence comparisons were obtained using MLAGAN (Brudno *et al.*, 2003).

Divergence time estimation

Divergence times for the *Oryza* phylogeny were estimated using a relaxed-clock approach, implemented in BEAST version 1.5.2 (Drummond and Rambaut, 2007). This approach permits simultaneous estimation of tree topology, model parameters and both the rate and time components on branch lengths, thereby allowing ages to be assigned to each node in the phylogeny. This analysis requires external (prior) information on the dates of one or more nodes in the tree. Ideally, these dates would come directly from fossil information, but, given a lack of fossil data for all *Oryza* species, dates from two previous molecular studies in the tribe Oryzeae and all grasses were used. A prior distribution on the age of the rice and Sorghum divergence (normal distribution, mean 50 million years, standard deviation 5 million years; Vicentini *et al.*, 2008) and the base of the *Oryza* clade (normal distribution, mean

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15 million years, standard deviation 3 million years; Tang et al., 2009) was specified. A number of different nucleotide and relaxedclock models using Bayes factors (Suchard et al., 2001) were tested to determine which model provided the best fit for the data. These included Hasegawa, Kishino and Yano model with Gamma distribution (HKY+G) and GTR+G model for nucleotide substitutions, and a strict molecular clock (one rate) or relaxed-clock models with log normal and exponential distributions. For all analyses, 25 million Markov chain Monte Carlo (MCMC) generations were run, with sampling every 1000 generations. TRACER version 1.4.1 (http:// beast.bio.edu.ac.uk/tracer) was used to check convergence and to calculate Bayes factors. The sampled trees were summarized using TREEANNOTATOR version 1.5.2 (http://beast.bio.ed.ac.uk) to determine the maximum clade credibility tree and estimates for node ages and branch rates. Trees were visualized using FIGTREE version 1.2.3 (http://tree.bio.ed.ac.uk/software/figtree).

Tests for selection

Two d_N/d_S tests were performed using PAML and the likelihood ratio test. First, protein sequences of the homologous gene pairs were aligned using MUSCLE (http://www.drive5.com/muscle/), and then the protein alignment was converted into a codon-based nucleotide alignment using Pal2nal (Suyama et al., 2006). Pairwise d_N/d_S (ω) ratios of orthologous genes were calculated using the maximum likelihood algorithm in PAML (Yang, 2007). The significance of ω values that deviated from neutrality (ω = 1.0) was tested using the likelihood ratio test. Second, to test the d_N/d_S ratios along branches using a tree-based branch model, a phylogenetic tree for each core gene was constructed using the maximum likelihood algorithm and appropriate models estimated using ModelTEST (Posada and Crandall, 1998) implemented in PAUP*4.0b10 (Swofford, 2002). A tree-based d_N and d_S analysis was performed using the codon model (codeml) (Goldman and Yang, 1994; Yang, 1998) in PAML4 (Yang, 2007). For the initial codeml analyses, two models, using either one ratio (ω) for all branches or a free ratio (ω) for each branch, were employed to determine whether the d_N/d_S ratios were indeed different among lineages. If they were, subsequent tests with multiple-ratio branch models were performed. d_N/d_S ratio differences among branches were evaluated using the likelihood ratio test. Log likelihoods of the defined models were compared using a χ^2 distribution with degrees of freedom equal to the difference in the number of variable parameters between the nested models. The numbers of synonymous and non-synonymous substitutions along each branch were calculated based on branch length (t) and the d_{N} d_S ratios (ω), together with the estimated transition/transversion ratio (k) under the free-ratio model.

Accession numbers

BAC clone addresses and species names are listed in Table S1. All sequences are deposited in Genbank (GQ203296–GQ203303).

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SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article:

Figure S1. Identification of homoeologous BAC clones from each tetraploid *Oryza* species using genome-specific CAP and STS markers. Figure S2. Chronograms of the genus *Oryza* based on six core genes obtained using a Bayesian relaxed-clock approach.

Figure S3. Phylogenetic trees inferred from six core genes using maximum-likelihood approaches.

Figure S4. Unrooted phylogenetic tree demonstrating the evolutionary origin and diversification of F–box or F–box-like genes in polyploid *Oryza* species and their proposed diploid progenitors.

Figure S5. Unrooted phylogenetic tree demonstrating the evolutionary origin and diversification of gene family 2 in polyploid *Oryza* species and their proposed diploid progenitors.

Figure S6. Unrooted phylogenetic tree demonstrating the evolutionary origin and diversification of gene family 5 in polyploid *Oryza* species and their proposed siploid progenitors.

Figure S7. Unrooted phylogenetic tree demonstrating the evolutionary origin and diversification of gene family 13 in polyploid *Oryza* species and their proposed diploid progenitors.

 Table S1. Comparative sequence dataset of sequenced BAC clones

 spanning eight homoeologous genomes from four allotraploid

 species of Oryza.

Table S2. Genes identified in the comparative sequence dataset.

Table S3. Compositional diversity and nucleotide contribution of various classes of transposable elements in the homoeologous regions of each polyploid *Oryza* species.

 Table S4. Model comparison for detecting lineage-specific selection using the likelihood ratio test.

Table S5. Likelihood values and parameters estimated using various maximum-likelihood models.

 Table S6. Purifying selection revealed by pairwise comparisons of six core genes across species/genome/ploidy backgrounds.

Table S7. Variation of the molecular evolutionary rate in the diploid and polyploid lineages of *Oryza*.

 Table S8. Selected global sequence comparisons between species

 and ploidy backgrounds, showing the extent of sequence conservation in the intergenic regions.

 Table S9. Independent evolution of various transposable element classes in the aligned regions.

Table S10. Intact LTR retrotransposons and solo LTRs identified in the polyploid dataset, and their structural features.

 Table S11. Percentage shared and unshared gene content in the aligned windows of various *Oryza* genome type comparisons.

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